

## **NEW CENTURY ADVISERS, LLC PRIVACY NOTICE 2025**

At New Century Advisers, the interests of our clients always come first. That is why we do not share client information with outside companies without your permission

### **New Century Advisers Privacy Statement**

At New Century Advisers, *the interests of our clients always come first*. As part of our firm's tradition of trust, the confidentiality of client information has been and will continue to be paramount. We maintain high standards to safeguard your personal financial information at all times, and we will remain vigilant in protecting that information.

### **Collection and Disclosure of Information**

We collect personal financial information to operate prudently and to meet legal and regulatory requirements. We share only certain information with companies not in our corporate family ("nonaffiliated third parties") such as a bank custodian, as permitted by law for the purpose of servicing your financial needs. The recipients of that information are required to protect the confidentiality and security of that information and may not reuse it for any other purpose.

Consumer information collected by NCA generally comes from the following sources:

- Information provided by consumers on account applications or other forms, in correspondence, written or electronic, or by telephone;
- Information relating to the transaction history of a customer's account; or
- Information NCA receives from third parties.

We may disclose consumer information to third parties who are not affiliated with NCA:

- as permitted by law, for example with service providers who maintain or service customer accounts, or in response to legal or regulatory notices; or
- to perform marketing services on behalf of NCA or pursuant to a joint marketing agreement with another financial institution.

### **Security of Information**

We require service providers to NCA to maintain policies and procedures designed to

- Insure the security and confidentiality of client records and information;
- Protect against any anticipated threats or hazards to the security or integrity of client records and information; and
- Protect against unauthorized access to or use of client records or information that could result in substantial harm or inconvenience to any client.

When information about consumers is disclosed by NCA to nonaffiliated third parties, we require that the third party maintain the confidentiality of the information disclosed and limit the use of information by the third party solely to the purposes for which the information is disclosed or as otherwise permitted by law.

Every consumer has the right to direct that personal financial information not be shared with nonaffiliated third parties, subject to certain specific exceptions relating to:

- joint marketing programs, or
- service providers as necessary to effect a transaction or administer the customer's account, or
- legal and regulatory disclosure

### **Questions and Answers Regarding our Privacy Practices**

#### How do we protect the confidentiality and security of your information?

People. We restrict access to your personal financial information to personnel who need that information to provide you with our services.

Policies and Procedures. We maintain and monitor our physical, electronic and procedural safeguards, updating them as needed so that we may guard your personal information.

Security. We use industry standard security methods to help us identify and prevent data breaches.

Former Clients. Our Privacy Policy continues to apply to all former clients.